

**FORM NO. MGT 9  
EXTRACT OF ANNUAL RETURN**

**as on the financial year ended on 31.03.2019**

**(Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company  
(Management & Administration) Rules, 2014)**

**I. REGISTRATION & OTHER DETAILS:**

i.	CIN	U74200MH1977PTC020041
ii.	Registration Date	23 <sup>RD</sup> DECEMBER, 1977
iii.	Name of the Company	thyssenkrupp Industrial Solutions (India) Pvt. Ltd.
iv.	Category/Sub-category of the Company	Company Limited by Shares / Non-Govt. Company
v.	Address of the Registered office & contact details	Uhde House, LBS Marg, Vikhroli (W), Mumbai- 400 083 Maharashtra
vi.	Whether listed company	NO
vii.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Link Intime India Pvt Ltd. C-101, 247 Park, LBS Marg, Vikhroli (west) - 400083

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

SR. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Engineering, Procurement, Construction and Management	9983	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -**

SR. NO.	NAME AND ADDRESS OF THE COMPANY	CIN/ GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1	THYSSENKRUPP INDUSTRIAL SOLUTIONS AG		HOLDING COMPANY	80.43	u/s 2(46)



h) Foreign Venture Capital Funds									
i) Others - Bodies Corp.		256,000	256,000	8.70%		256,000	256,000	8.70%	-
<b>Sub-total (B)(1):-</b>		<b>256,000</b>	<b>256,000</b>	<b>8.70%</b>		<b>256,000</b>	<b>256,000</b>	<b>8.70%</b>	-
<b>2. Non- Institutions</b>									
a) Bodies Corp.									
i) Indian									
ii) Overseas									
b) Individuals									
i) Individual shareholders holding nominal share capital up to Rs. 1 lakh		1,500	1,500	0.05%		1,500	1,500	0.05%	
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh		25,600	25,600	0.87%		25,600	25,600	0.87%	
c) Others (specify)									
Non Resident Indians									
Overseas Corporate Bodies									
Foreign Nationals									
Clearing Members									
Trusts									
Foreign Bodies - D R									
<b>Sub-total (B)(2):-</b>		<b>27,100</b>	<b>27,100</b>	<b>0.92%</b>		<b>27,100</b>	<b>27,100</b>	<b>0.92%</b>	
Total Public Shareholding (B)=(B)(1)+ (B)(2)		<b>283,100</b>	<b>283,100</b>	<b>9.62%</b>		<b>283,100</b>	<b>283,100</b>	<b>9.62%</b>	-
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>		<b>NIL</b>	<b>NIL</b>	<b>NIL</b>		<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	
<b>Grand Total (A+B+C)</b>		<b>2,944,000</b>	<b>2,944,000</b>	<b>100%</b>		<b>2,944,000</b>	<b>2,944,000</b>	<b>100%</b>	-

ii) Shareholding of Promoters-

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	thyssenkrupp Industrial Solutions AG	2,368,000	80.43%	-	2,368,000	80.43%	-	-
2	Mr.R.A.Shah	62,500	2.12%	-	62,500	2.12%	-	-
3	Dr. J.R.Shah	-	-	-	0	0	-	-
4	Dr.U.J.Shah & Ranjit Shah	45,500	1.55%	-	45,500	1.55%	-	-
5	Dr.U.J.Shah & Bela Dalal	31,300	1.06%	-	31,300	1.06%	-	-
6	Dr.U.J.Shah	76,800	2.61%	-	76,800	2.61%	-	-
7	Mr.Ranjit Shah	76,800	2.61%	-	76,800	2.61%	-	-
	<b>Total</b>	<b>2,660,900</b>	<b>90.38%</b>		<b>2,660,900</b>	<b>90.38%</b>		-

iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1)	<b>thyssenkrupp Industrial Solutions AG</b>				
	At the beginning of the year	2,368,000	80.43%	2,368,000	80.43%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	-	-	-	-
	At the end of the year	2,368,000	80.43%	2,368,000	80.43%

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2)	<b>Mr.R.A.Shah</b>				
	At the beginning of the year	62,500	2.12%	62,500	2.12%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	-	-	-	-
	At the end of the year	62,500	2.12%	62,500	2.12%

SN 3)	Particulars <b>Dr. J.R.Shah</b>	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	-	-	-	-
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.): Decrease : Reason - <b>Death of Promoter</b> <b>Date of Transmission of Shares : 16.03.18</b>	-	-	-	-
	At the end of the year	NIL	NIL	NIL	NIL

SN 4)	Particulars <b>Dr.U.J.Shah</b>	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	76,800	2.61%	76,800	2.61%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment /transfer /bonus/ sweat equity etc.):	-	-	-	-
	At the end of the year	76,800	2.61%	76,800	2.61%

SN 5)	Particulars <b>Mr.Ranjit Shah</b>	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	76,800	2.61%	76,800	2.61%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	-	-	-	-
	At the end of the year	76,800	2.61%	76,800	2.61%

SN 6)	Particulars <b>Dr.U.J.Shah &amp; Mr. Ranjit Shah</b>	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	45,500	1.55%	45,500	1.55%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.)	-	-	-	-
	At the end of the year	45,500	1.55%	45,500	1.55%

SN 7)	Particulars <b>Dr.U.J.Shah &amp; Ms.Bela Dalal</b>	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	31,300	1.06%	31,300	1.06%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	-	-	-	-
	At the end of the year	31,300	1.069%	31,300	1.06%

**iv) Shareholding Pattern of top ten Shareholders:  
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1)	Kingfisher Finvest India Ltd.				
	<b>At the beginning of the year</b>	256,000	8.70%	256,000	8.70%
	<b>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):</b>	-	-	-	-
	<b>At the end of the year</b>	256,000	8.70%	256,000	8.70%

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2)	Ms.Shefali R.Shah				
	<b>At the beginning of the year</b>	1,500	0.05%	1,500	0.05%
	<b>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):</b>	-	-	-	-
	<b>At the end of the year</b>	1,500	0.05%	1,500	0.05%

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
3)	Mrs.Mona Shah				
	<b>At the beginning of the year</b>	25,600	0.87%	25,600	0.87%
	<b>Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):</b>	-	-	-	-
	<b>At the end of the year</b>	25,600	0.87%	25,600	0.87%

v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1)	Mr. R A Shah (Director)				
	At the beginning of the year	62,500	2.12%	62,500	2.12%
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.):	-	-	-	-
	At the end of the year	62,500	2.12%	62,500	2.12%

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2)	Dr. J R Shah (Director)				
	At the beginning of the year	-	-	-	-
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc.): transmission of Shares on death dt.16.03.18	-	-	-	-
	At the end of the year	NIL	0	NIL	0



V) **INDEBTEDNESS** -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in Indebtedness during the financial year		NIL		
* Addition				
* Reduction				
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount
		Mr.P D Samudra (Managing Director)	Mr. N R Chitre (Whole-Time Director)	-	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	25,806,487	10,962,553		36,769,040
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	4,443,106	96,319		4,539,425
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-		-
2	Stock Option	-	-		-
3	Sweat Equity	-	-		-
4	Commission - as % of profit - others, specify...	-	-		-
5	Others, please specify -LTI	1,736,995	509,169		2,246,164
	Total (A)	31,986,588	11,568,041		43,554,629
	Ceiling as per the Act				

B. Remuneration to other directors

SN.	Particulars of Remuneration	Name of Directors				Total Amount
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1	<b>Independent Directors</b>					
	Fee for attending board committee meetings					
	Commission					
	Others, please specify					
	<b>Total (1)</b>					
2	<b>Other Non-Executive Directors</b>	<b>Mr.J.R.Shah</b>	<b>Mr. Bansi S. Mehta</b>			
	Fee for attending board committee meetings	-	-			-
	Commission / Incentive Bonus		-			
	Others, please specify (LTI) Perquisites	-	-			-
	<b>Total (2)</b>					
	<b>Total (B)=(1+2)</b>					
	<b>Total Managerial Remuneration</b>	-	-			-
	<b>Overall Ceiling as per the Act</b>					

SN.	Particulars of Remuneration	Name of Directors				Total Amount
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1	<b>Independent Directors</b>					
	Fee for attending board committee meetings					
	Commission					
	Others, please specify					
	<b>Total (1)</b>					
2	<b>Other Non-Executive Directors</b>	<b>Mr.Ranjit Shah</b>	<b>Mr.R.A.Shah</b>			
	Fee for attending board committee meetings	30,000	40,000			70,000
	Commission / Advisory Services		-			
	Others, please specify					
	<b>Total (2)</b>					
	<b>Total (B)=(1+2)</b>					
	<b>Total Managerial Remuneration</b>	30,000	40,000			70,000
	<b>Overall Ceiling as per the Act</b>					

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SN	Particulars of Remuneration	Key Managerial Personnel (figures in Rs.)			
		CEO	Company Secretary	CFO	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- as % of profit	-	-	-	-
	others, specify...	-	-	-	-
5	Others, please specify	-	-	-	-
	Total	-	-	-	-

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES: None/ NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					/
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty				NIL	
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					

For and on behalf of the Board of Directors



P. D. Samudra  
CEO & Managing Director



N. R. Chitre  
CFO & Executive Director

Mumbai: 12<sup>th</sup> July, 2019