



**NIT
FOR**

**thyssenkrupp
Industrial Solutions (India)**

Alumina Storage & wagon loading at
Refinery (Damanjodi) and Alumina storage &
handling at Port (Vizag)

BIDDING DOC. NO.: 6695-CMG-G00-CA-0014

(INTERNATIONAL COMPETITIVE BIDDING)

**NATIONAL ALUMINIUM COMPANY LIMITED-
BHUBANESWAR**

INTERNATIONAL COMPETITIVE BIDDING

NOTICE INVITING TENDER (NIT) FOR PRE QUALIFICATION OF BIDDERS

thyssenkrupp Industrial Solutions (India) Private Limited (tkIS India) (formerly Uhde India Pvt. Ltd.) on behalf of National Aluminium Company Ltd (NALCO) invites PQ bids for pre-qualification from eligible bidders for the following:

Description	PQ Booklet on Website	Bid submission Date / Time	Bid Open Date /Time
Alumina Storage & wagon loading at Refinery (Damanjodi) and Alumina storage & handling at Port (Vizag)	From 06.08.2018 To 17.09.2018 upto 15.00 Hrs.(IST) (Both days inclusive)	17.09.2018 upto 15.00 Hrs.(IST)	21.09.2018 At 15.30 Hrs.(IST)

The detailed NIT & Pre-Qualification Booklet along with contact details can be viewed and downloaded from CPP Portal (www.eprocure.gov.in), Nalco live tender (www.nalcoindia.co.in) & M/s tkIS website (<http://www.thyssenkrupp-industrial-solutions-india.com>). This Tender is on CONVENTIONAL MODE and hence the bidders shall submit the bids in HARD COPY only to the consultant - M/s tkIS-India at Uhde House, L.B.S. Marg, Vikhroli (West), Mumbai – 400 083. The Bids SHALL NOT BE uploaded on the above mentioned CPP portal / websites. In case any bids are uploaded on the CPP portal / websites by any Bidder, such Bids shall be ignored and shall not be considered for evaluation. All amendments, time extension, clarifications, etc. will be uploaded on the CPP portal only and will not be published in newspapers.

Bidders should regularly visit the above websites to keep themselves updated.

Contact Person at tkIS India Mr. T. S. Bharadwaj / Mr. Waibhav Raut / Mr. Sulesh Pradhan
Telephone No. +91-22-40478509 /40478622/ 40478399

e-mail: waibhav.raut@thyssenkrupp.com / bharadwaj.ts@thyssenkrupp.com /
sulesh.pradhan@thyssenkrupp.com /

tkISIndia.nalcotf@thyssenkrupp.com

1.0 INTRODUCTION:

M/s National Aluminium Company Limited (NALCO) having its Corporate Office at Bhubaneswar, Odisha is a premier Public Sector Undertaking and a Navaratna Company, under the administrative control of Ministry of Mines, Government of India owns and operates a large integrated Mines-Alumina-Aluminium complex in India.

As a part of its growth plan NALCO is planning to set up one more Stream (5th Stream) in its Alumina Refinery at Damanjodi. The stream capacity would be 1.0 MTPA.

At port facilities, Visakhapatnam one Alumina silo of capacity 25000 MT and one Caustic Soda tank of capacity 5000 MT shall be constructed for export of Alumina and import of caustic soda liquor respectively.

NALCO intends to install **Alumina Storage & wagon loading at Refinery (Damanjodi) and Alumina storage & handling at Port (Vizag) , India.**

NALCO has appointed thyssenkrupp Industrial Solutions (India) Private Limited (tkIS-India) (Formerly Uhde India Private Limited), as their EPCM Consultant & PMC for LSTK Packages (hereafter referred as CONSULTANT). The technology for the Alumina refinery expansion is being provided by M/s RTAIL (Rio-Tinto Alcan Limited).

thyssenkrupp Industrial Solutions (India) Private Limited (tkIS India) (formerly Uhde India Pvt. Ltd.) on behalf of National Aluminium Company Ltd (NALCO) invites bids for the above Package.

Bidder shall download the Bid Document in his own name and submit the Bid directly. The Bid Document is non- transferable. Bid submitted by Bidder who has not downloaded the Document will be liable for rejection.

Bidders shall submit the bid directly and in their own name without involving any intermediaries.

The Offers of only those Bidders who qualify the Bidder Qualification Criteria (BQC) stipulated below and also meet / comply with the requirements specified under various sections of this Bid Document, will be considered for further evaluation.

Bidders shall submit, along with their Bids, the Integrity Pact in the format enclosed with this document. Bids of Bidders who do not sign & submit the Integrity Pact will not be considered for further evaluation. In the case of consortium bids, both the Leader of the Consortium and the other member of the consortium shall submit Integrity Pacts.

2.0 Description of System and Bidder's Scope

This LSTK package consists of Alumina Storage & Wagon Loading in Refinery at Damanjodi, Orissa and Alumina Storage & Handling at Port in Vizag, with Air slides, dust collection systems for the complete package at respective locations. The package scope includes all internal as well as interconnecting piping / ducting/conveying systems between units as applicable. The scope also includes civil / structural / electrical / instrumentation work within the battery limit. This complete package shall be executed on Lump sum Turnkey basis.

Bidder's Scope:

Residual Process Engineering, Hazop Study with participation by Licensor / CONSULTANT / NALCO, Detailed Engineering, Project Management, Total Procurement, Fabrication, Manufacturing, Quality Assurance, Inspection & Expediting, Third Party Inspection, Supplies, Transportation, Handling & Storage of all Equipment, Materials, items and other Construction Materials, Construction Management & Supervision, Assembly & Erection, Construction and Installation, Installation of Tie-in points of Piping, Instrumentation, Electrical, Testing, Insulation, Painting, Obtaining all Statutory Approvals (except Environment Clearance which has been obtained by NALCO), Pre-commissioning & Mechanical Completion, Start-up, Commissioning and Performance Guarantee Test Runs of **Alumina Storage & wagon loading at Refinery (Damanjodi) and Alumina storage & handling at Port (Vizag)** and handing over the entire **Alumina Storage & wagon loading at Refinery (Damanjodi) and Alumina storage & handling at Port (Vizag)** including spares with Final and "As Built" documentation to NALCO on single point responsibility basis (Lump Sum Turn Key –LSTK- Basis), based on tender documents provided by tkIS India, for 1.0 MTPA ALUMINA REFINERY of M/S NATIONAL ALUMINIUM COMPANY LIMITED (NALCO) .

3. Time Schedule For Completion

The Completion Period for the **Alumina Storage & Wagon Loading at Refinery (Damanjodi) and Alumina Storage & Handling at Port (Vizag)** shall be 23 (Twenty Three) months from the date of issuance of NALCO'S Letter Order of Acceptance (LOA).

4.0 Bidder Qualification Criteria (BQC)

Bidder shall fulfil the qualification criteria mentioned below in order to qualify for this work. The bidder can bid either as a Single Bidder or on Joint Venture / Consortium basis with maximum two members (i.e. one leader and one member).

(A) Experience Criteria:

4.1.1 The contractor should have experience in design, engineering, erection and commissioning of RCC silo (using Slip Form Technology) for storage of powdery material like alumina, cement, fly-ash, sulphur etc. of minimum 7500 MT capacity.

4.1.2 The contractor should also have experience of supply and erection of the following equipment:

- a. Complete Air slide system with accessories for powdery material like alumina, cement, fly ash, sulphur etc. of Minimum 500 TPH rated capacity.
- b. Complete wagon loading system with accessories, including air slides, dust collection systems, telescopic chutes, Beetle Chargers/Wagon Pushers etc. for powdery material like alumina, cement, fly ash, sulphur etc. of Minimum 500 TPH rated capacity

Note:

1. With respect to 4.1.2 above, the contractor may erect and commission the above equipment as bought out item. The above experience requirement as indicated at a) and b) above may be fulfilled either in a single project or separate projects completed by the Bidder.
2. The Silo and the equipment as referred above must have been successfully commissioned within the last 15 (Fifteen) years and should have been in operation for a period of minimum 12 months as on the PQ bid due date.
3. In case the bidder doesn't possess own experience of supply and erection of one or both the items specified at 4.1.2 above, the same can be sub-contracted to a party/parties with requisite experience as mentioned above. The proof of experience of such party/parties shall be submitted by the bidder along with MoU between bidder & sub-contractor/s, for evaluation purpose. Sub-contracting party can sign MOU with multiple bidders.

The bidder shall submit following supporting documents for the above mentioned eligibility criteria:

- a. Details of plants meeting the eligibility criteria in the preceding 15 years.
- b. Work order, contract agreement and completion certificate(s) issued by Owner(s) and / or end user(s) in the official letter head /format along with supporting document indicating details of job and the equipment rating.
- c. Technology tie-up/license/ MoU/ collaboration agreement, if any.

Bidder shall furnish Proven Track Record (PTR) in support of their meeting the qualification criteria above along with the bid.

(B) Financial Criteria-

- (i) The annual turnover as per the audited financial statements (Balance Sheets and Profit & Loss Accounts) of the bidders shall not be lower than INR 84,19,00,000/- (Indian Rupees Eighty Four Crores Nineteen Lakhs Only) or its equivalent foreign currency as per RBI Reference exchange

rate as on bid floating date, in at least one of the immediately preceding three accounting years considered from the bid submission due date. The turnover shall be escalated @10% per annum (simple interest) to bring them to the current price level. The turnover of the preceding year just prior to bid submission shall not be escalated. Please refer the Note Sl. No. 3 below for clarification on the periods relating to financial years.

- (ii) Net worth of the bidder **(And his consortium member, if any)** during the last financial year shall be positive as per the audited balance sheet. It is clarified that the term "Last Financial Year" means the latest financial year out of the immediately preceding three financial years mentioned above. Please refer the Note Sl. No. 3 below for clarification on the periods relating to financial years.

Note:

- (1) If the Principal Bidder is an Overseas (Foreign) Bidder and proposes his Indian Vendor for rendering site services, Indian Supplies etc, then the financial Net Worth of both the Overseas (Foreign Bidder) and his Indian Vendor should be positive.
- (2) Similarly if the Principal Bidder is an Indian Bidder and proposes his Overseas (Foreign Vendor) for Overseas Supplies, then the financial Net Worth of both the Indian Bidder and his Overseas (Foreign) Vendor should be positive.
- (3) Annual Report (including the audited Balance Sheet and Profit & Loss Account) will be considered as follows:

If the bid due date happens to be more than six months from the end of the financial year of the bidder, then the Annual report of the latest financial year shall be considered.

Similarly, if the bid due date happens to be less than six months from the end of the financial year of the bidder, then the Annual report previous to the latest financial year shall be considered.

For example –

a) If the latest financial year is 31st Mar'18 and the bid due date is within 30th Sept' 18 then the Annual report including the audited balance sheet and profit & Loss account shall be submitted as under;

- (a) 1st April, 2016 to 31st March, 2017;
- (b) 1st April, 2015 to 31st March, 2016 &
- (c) 1st April, 2014 to 31st March, 2015.

b) If the latest financial year is 31st Mar'18 and the bid due date is after 30th Sept' 18 then the Annual report including the audited balance sheet and profit & Loss account shall be submitted as under;

- (a) 1st April, 2017 to 31st March, 2018;
- (b) 1st April, 2016 to 31st March, 2017 &
- (c) 1st April, 2015 to 31st March 2016.

- (4) Net worth is defined as = "Equity share capital Plus Reserves excluding Revaluation reserves Minus Intangible Assets Minus Miscellaneous Expenditure to the extent not written off and carried forward Loss".

5 Joint Venture / Consortium Bidding:

Consortium Bids will be considered as per the following terms:-

- (i) Bids from consortium comprising of maximum 02 (two) members shall be allowed provided that the leader of the consortium alone meets financial criteria.
- (ii) The members of consortium shall have to fulfil experience criteria jointly.
- (iii) Net Worth of both the Leader of the Consortium and the other Member of the Consortium should be positive as per the audited financial results of the immediate preceding financial year.

Please refer the Note Sl. No. 3 above for clarification on the periods relating to financial years.

- (iv) The overall Project Management shall be performed by the Leader. The members of Consortium shall assume responsibility jointly and severally and shall submit Consortium Agreement duly notarized so as to be legally valid and binding on the members, along with the bid, clearly defining the scope and responsibility of each member including the Leader. The Agreement must remain in force at least till the pendency of the Contract.
- (v) The Consortium Leader and the distribution of work shall be identified and submitted along with the bid and shall not be changed thereafter.
- (vi) Member of the Consortium shall give Power of Attorney (POA) to the Leader.
- (vii) The Lead Member shall be authorized to incur liabilities and receive instructions for and on behalf of any and all member(s) of the Consortium during the entire execution of the contract, including receipt of payment on behalf of the consortium.
- (viii) Members of the Consortium shall be liable jointly and severally for the performance of the Contract in accordance with the contract terms and discharge of the Contractor's obligations and liabilities under the Contract.
- (ix) Separate bid by any of the consortium members shall not be accepted. A bidder can be a member only in one consortium. In case any bidder participates in more than one consortium for this tender, all the consortium bids with that bidder participation shall be rejected.
- (x) In case the offer of the consortium being accepted by NALCO / tkIS, the CPBG as per the stipulation, shall be submitted by the leader of the consortium.
- (xi) The EMD BG can be submitted by any of the members of the consortium, subject to proper authorization from the leader of the consortium.

NOTE: Net worth is defined as = "Equity share capital Plus Reserves excluding Revaluation reserves Minus Intangible Assets Minus Miscellaneous Expenditure to the extent not written off and carried forward Loss".

6.0 Documents to be submitted with the Bid:

- 6.1 The Bidder shall furnish documentary proof in the form of copy of work order(s) / contract agreement(s) / relevant pages of contract document(s), completion certificate(s) and certificate(s) of satisfactory operation of previous plants, evidencing fulfilment of the Experience criteria of the BQC.

All documents furnished by the Bidder in support of meeting the Experience Criteria shall be duly notarized by any Notary Public in the Bidder's Country. In case of Notarization, Bidder shall also submit an Affidavit duly notarized in the Format attached as Annexure -1 with this NIT, signed by the Authorized Signatory of the Bidder.
- 6.2 The Consortium shall submit a copy of valid collaboration agreement / MOU identifying the scope division matrix of the complete work in all respects between the leader and member. The Bidder shall also submit the Power of Attorney as mentioned in Clause No. 5 (vi) above.
- 6.3 The Bidder must also furnish complete Audited Financial Statements / Annual Reports including Balance Sheets, Profit & Loss Accounts, Schedule / Notes etc. along with the PQ Bid, in support of their meeting the financial Criteria of BQC. All these documents must also be signed by a Qualified Chartered Accountant (not being an employee or a Director or not having any interest in the bidder's company/firm) and shall be duly notarized by any Notary Public in the Bidder's Country. In case of Notarization, Bidder shall also submit an Affidavit duly notarized in the Format attached as Annexure -1 with this NIT, signed by the Authorized Signatory of the Bidder. The Bidder shall also furnish the enclosed Annexure – 2, duly filled-in and signed by them. The Bidder shall also ensure that the Turn-over and the Net Worth columns of the above Annexure – 2 are duly signed by a qualified Chartered Accountant.
- 6.4 Bidder shall also submit Solvency Certificate from Bidders' bankers. Date of issue of this certificate should not be earlier than 6 (six) Months from due date of opening of Bid.

- 6.5 Bidder shall also enclose their Letter or their Banker's Letter that the Bidder is not under liquidation, Court Receivership or Similar Proceedings.
- 6.6 Bidder shall also furnish their Self-Certification about their financial soundness.
- 6.7 Bidder shall submit with their Techno Commercial Unpriced Bid, the formats enclosed with this PQ Booklet, duly filled-in, stamped and signed by them. (Annexures : 1 to 4)
- 6.8 In case any documents are not in English Language, then the English translation copy of the same shall be furnished duly certified, stamped and signed by Local Chamber of Commerce in Bidder's country, along with the original BQC documents.
- 7.0 OTHER POINTS:**
- 7.1 Owner / Consultant will examine the PQ Bids for completeness and authenticity including whether the documents have been signed, complete and the details furnished are in order.
- 7.2 Owner / Consultant reserve the right not to seek any clarification on documents submitted in support of Pre-qualification requirements and to evaluate the PQ Bids on "As Received Basis".
- 7.3 While evaluating the Bidder's conformity with Experience Criteria, the following consideration shall be applied:
- i) Only such works shall be taken into consideration, the details of which have been submitted by the Bidder in Annexure - 3 for relevant work along with copy of work order/ notification for award, completion certificate etc. duly authenticated.
 - ii) Copy of work order/ notification for award of work shall establish following:
 - a) The work was awarded to Bidder.
 - b) The work meets Experience Criteria of NIT for Prequalification.
 - iii) Similarly 'Completion Certificate' shall establish following:
 - (a) The work under reference has been commissioned during last 10 (ten) years, reckoned from the due date of submission of PQ Bid.
 - (b) Submission of adequate proof of Completion, commissioning, Operation, which establishes that the work under reference has been commissioned and has been in satisfactory operation consistently at the required capacity.
- 7.4 The failure to meet the BQC stipulated above will render the bid to be summarily rejected.
- 7.5 Experience of the bidding entity only shall be considered. A job executed by Bidder for its own Plant / Projects, or Projects executed by the Bidder for its Parent / Affiliate / Group company / Subsidiary / Fellow Subsidiary/ Holding company cannot be considered as experience for the purpose of meeting requirement of BQC of the tender.
- 7.6 The positive Net worth criteria mentioned above shall apply to all Bidders including Indian Central Public Sector Undertakings / Enterprises.
- 7.7 Submission of authentic documents is the prime responsibility of the Bidder. However, NALCO / tkIS India reserves the right of getting the document cross verified, at their discretion, from the document issuing authority.
- 7.8 NALCO / tkIS India reserves the right to complete the evaluation based on the details furnished (without seeking any additional information) and / or in-house data, survey, or otherwise.
- 7.9 NALCO / tkIS India reserve the right to assess the spare capacity available with the Bidder for executing the above job by taking into account the concurrent commitments of the Bidder on various jobs under execution by them.
- 7.10 Bidders who are on Holiday / Negative list of NALCO or tkIS India or black listed by any Government Department / Public Sector Company on the due date of submission of PQ bid / during the process of evaluation of the PQ bids, the offers of such Bidders shall not be considered

for Bid Opening / Evaluation / Award. If the PQ document were issued inadvertently/ downloaded from website, offers submitted by such Bidders shall also be not considered for bid opening/evaluation/Award.

- 7.11 The bidder shall in his own interest furnish complete documentary evidence in the first instance itself along with their PQ bids, in support of their fulfilling the Qualification Criteria stipulated above. However, in case of any ambiguity in the documents submitted by the Bidder or if the Bidder submits incomplete documents, pertaining to the BQC, NALCO / tkIS – India may give an opportunity to the Bidder to submit the required documents in support of meeting the stipulated BQC for the jobs mentioned by the Bidder in their original offer, within the time period specified. In case the Bidder fails to submit any document or submits incomplete / ambiguous within the time period specified, the bid evaluation will be done as per the evaluated documents. The Bidder shall not be allowed to submit any new document pertaining to the BQC beyond the time period specified in this regard by NALCO / tkIS - India.
- 7.12 NALCO / tkIS-INDIA shall not be responsible for any expenses incurred by bidders in connection with the preparation & delivery of their bids, site visit and all other expenses incurred during bidding process regardless of the conduct or outcome of the bidding process.
- 7.13 NALCO / tkIS India reserves the right to disqualify any bidder during the PQ process on account of their non-performance in the earlier jobs executed by them for NALCO or for any other Public Sector Undertaking or who have been black listed by any Public Sector Undertaking.
- 7.14 Integrity Pact, duly signed & stamped on each page, shall be submitted along with the Techno Commercial - Unpriced Bid. Bids of Bidders who do not sign & submit the Integrity Pact will not be considered for further evaluation.
- 7.15 NALCO / tkIS India reserve the right to reject any or all the bids received or annul the Bidding process at any time without assigning any reason whatsoever, without assuming any liability or any obligation. The Owner / Consultant reserves the right to accept or reject any PQ Bid and to annul the pre-qualification process and/or reject any and/or all PQ Bids at any time without thereby incurring any liability to the affected Bidder(s) or any obligation to inform the affected Bidder(s) of the grounds for such action.
- 7.16 Canvassing in any other form by the Bidder or by any other agency on their behalf may lead to disqualification of their Bid.
- 7.17 In case any bidder is found to be involved in cartel formation, his bid will not be considered for evaluation / placement of Order. Such bidder will be debarred from bidding in future.
- 7.18 Fax / Telex / e-mail Bids shall not be accepted.
- 7.19 The complete Bidding Document can be downloaded from the CPP portal <http://eprocure.gov.in/eprocure/app> ,Nalco live tender (www.nalcoindia.co.in) & M/s tkIS website (<http://www.thyssenkrupp-industrial-solutions-india.com>) only.
- 7.20 Bids received after the due date and time shall be rejected and representative of such bidders shall not be allowed to attend the bid opening.
- 7.21 Notifications issued by Reserve Bank of India:-
- Bidders shall note and comply with the following enclosed Notifications issued by Reserve Bank of India :
- a) RBI's Notification No. FEMA 22(R)/RB-2016 dt. 31.03.2016.
 - b) RBI's Circular No. RBI/2015-16/397 A.P(DIR Series) Circular No.69 [(1)/22(R)] dt. 12.05.2016.

NOTE : Bidders shall update themselves of any amendments to the above notifications / fresh notifications and shall ensure compliance.

7.22 APPLICABLE LAW AND JURISDICTION

The Bidding Process shall be governed by, and construed in accordance with, the laws of India and the Courts at Bhubaneswar shall have exclusive jurisdiction over all disputes arising under, pursuant to and/ or in connection with the Bidding Process.

8.0 SALIENT FEATURES OF BIDDING DOCUMENT

i)	NAME OF THE WORK	Alumina Storage & Wagon Loading at Refinery (Damanjodi) and Alumina Storage & Handling at Port (Vizag)
ii)	LOCATION OF THE WORK	(a) NALCO REFINERY – DAMANJODI (b) PORT – VIZAG
iii)	TENDER REFERENCE	BIDDING DOCUMENT NO. 6695-CMG-G00-CA-0014 DTD. 06.08.2018
iv)	COMPLETION PERIOD	23 (TWENTY THREE) MONTHS FROM THE DATE OF ISSUANCE OF BRIEF ORDER OF ACCEPTANCE (BOA)
v)	MODE OF TENDERING	OPEN , CONVENTIONAL, TWO PART
vi)	E.M.D. (BY WAY OF BANK GUARANTEE)	FOR INDIAN BIDDERS : INR 1,29,09,000/- (RUPEES ONE CRORE TWENTY NINE LAKHS NINE THOUSAND). FOR FOREIGN BIDDERS, IN EQUIVALENT FOREIGN CURRENCY AS PER RBI REFERENCE EXCHANGE RATE ON BID FLOATING DATE. PLEASE REFER ITB FOR FURTHER DETAILS
vii)	VALIDITY OF BG SUBMITTED AGAINST EMD	8 MONTHS FROM THE DUE DATE OF SUBMISSION OF BID
viii)	SUBMISSION OF QUERY RELATED TO TENDER	LATEST BY DT. 21.08.2018
ix)	DATE OF SITE VISIT	DT. 13.08.2018
x)	DATE AND VENUE OF PRE BID MEETING	AT 11:00 HRS OF DT. 24.08.2018, AT THYSSENKRUPP INDUSTRIAL SOLUTIONS (INDIA) PRIVATE LIMITED (FORMERLY UHDE INDIA PRIVATE LIMITED) UHDE HOUSE, LBS MARG, VIKHROLI (W), MUMBAI 400 083, INDIA
xi)	UPLOADING ADDENDA/ CORRIGENDA/ CLARIFICATION	BY DT. _____

xii)	TENDER SUBMISSION DUE DATE & TIME	BEFORE 15:00 HRS OF DT.17.09.2018 (REFER ITB FOR DETAILS OF LOCATION OF BID SUBMISSION). PLEASE ALSO REFER THE TABLE ON PAGE NO.1 OF THIS DOCUMENT.
xiii)	UN-PRICED TENDER OPENING DATE / TIME	AT 15:30 HRS OF DT.21.09.2018
xiv)	PRICED BID OPENING DATE / TIME	WILL BE INTIMATED LATER
xv)	VALIDITY OF OFFER	6 MONTHS FROM THE DUE DATE OF SUBMISSION OF BID

Please acknowledge receipt of Bidding Document and immediately conform your participation in the subject bidding as per proforma (ACKNOWLEDGEMENT CUM CONSENT LETTER) enclosed in the Bidding Document.

Clarification, if any, can be obtained from :

T.S. Bharadwaj	//	Swapnil Bendre
General Manager		Manager
Procurement & Estimation		Procurement & Estimation

T: +91-22-4047 8509 / 8389, F: +91-22-2577 9545,
bharadwaj.ts@thyssenkrupp.com; Swapnil.Bendre@thyssenkrupp.com;
tklIndia.nalcotf@thyssenkrupp.com

thyssenkrupp Industrial Solutions (India) Private Limited (Formerly Uhde India Private Limited)
 Uhde House, LBS Marg, Vikhroli (W), Mumbai 400 083, India,

Enclosed : Annexures 1 to 4

FORMAT FOR FURNISHING AFFIDAVIT

AFFIDAVIT

AFFIDAVIT OF, S/o D/o , resident of
 EMPLOYED AS WITH

HAVIN
 G OFFICE ATPIN.....

I, the above named deponent do hereby solemnly affirm and state as under:-

1. That I am the authorized representative and signatory of M/s.....
2. That the document (s) submitted as mentioned hereunder by M/s..... alongwith the Bid Document submitted under covering letter no.... dated..... towards PQ Booklet No..... for..... (Project) has/have been submitted under my knowledge.

Sr. No.	Document Reference no. & date	Document subject	Issuing Authority

3. That the document(s) submitted as mentioned above, by M/s..... alongwith the Bid Document for meeting the Bidder Qualification Criteria there under, vide covering letter no.... dated..... towards PQ Booklet No for.....are authentic, genuine, copy of their originals and have been issued by the issuing authority mentioned above and no part of the document(s) is false, forged or fabricated.
4. That no part of this affidavit is false and that this affidavit and the above declaration in respect of genuineness of the documents has been made having full knowledge of (i) the provisions of the Indian Penal Code in respect of offences including, but not limited to those pertaining to criminal breach of trust, cheating and fraud and (ii) provisions of bidding conditions which entitle the Owner/tkIS India to initiate action in the event of such declaration turning out to be a misrepresentation or false representation.
5. I depose accordingly.

DEPONENT

VERIFICATION

I,the deponent above named do hereby verify that the factual contents of this affidavit are true and correct. No part of it is false and nothing material has been concealed there from .

Verified aton this.....day
 of.....20...

DEPONENT

PQ BID SUBMISSION SHEET

S. No.

Date:

To,
NALCO
Bhubaneswar
Dear Sirs,

Having examined and understood of PQ Booklet (BQC Document) and having thoroughly studied the requirements of NALCO relative to the pre-qualification of agencies for **Alumina Storage & Wagon Loading at Refinery (Damanjodi) and Alumina Storage & Handling at Port (Vizag), India**, we hereby submit our PQ Bid for the **above LSTK Package**, in accordance with requirements specified in the PQ Booklet:

We have annexed to this PQ Bid the following documents:

- (i) Power of Attorney in favour of Authorised Bid Signatory & Power of Attorney in favour of Consortium Leader (Two POA's)
- (ii) Integrity Pact Duly Signed & Stamped.
- (iii) Financial details as per Proposal Forms along with supporting documents (including Audited Balance Sheets And Profit & Loss Accounts, and Solvency Certificate).
- (iv) Specific experience details as per Annexure - 3 along with supporting documents.
- (v) Documentation against Bidder Qualification Criteria.
- (vi) Affidavit (as per Annexure 1 to NIT)
- (vii) All documents mentioned in Clause No.6 above titled "Documents to be submitted with the Bid":

We hereby undertake that the statements made herein and the information given in the Annexure referred to above are true in all respects and that in the event of any such statement or information being found to be incorrect in any particular, the same may be construed to be a misrepresentation entitling NALCO / tkIS India to disqualify Applicant.

We further undertake as and when called upon by NALCO / tkIS India to produce for its inspection, original (s) of the documents(s) of which copies have been annexed hereto.

We hereby confirm that we have submitted all documents as stipulated.

Yours faithfully,

(Signature(s) of the Bidder)

Name & Designation of Authorised person signing the PQ Bid

ANNEXURE - 3**EXPERIENCE DETAILS (REFER CLAUSE NO. 4 TITLED BIDDER QUALIFICATION CRITERIA)**

Bidder shall furnish their experience details with reference to the Work, which pre-qualify them in line with Experience Criteria mentioned in NIT for Pre-qualification: Clause No.4 titled **"BIDDER QUALIFICATION CRITERIA" – EXPERIENCE CRITERIA**

(MARK ✓ FOR APPLICABILITY IN BOX)

S. NO.	DESCRIPTION	DETAILS
1.	Name of project, location	
2.	Description of work	
3.	Contract Value	
	(a) Awarded	
	(b) Final Executed	
4.	Name of Owner, Postal Address, Phone/Fax No./e-mail	
5.	Name of Consultant, Postal Address, Phone/ Fax No./e-mail	
6.	Above Refer Job Executed as:	
	As Single Bidder :	
	Through any other Mode (Please Specify)	
7.	Names of Refinery / Units along with capacity	

S. NO.	DESCRIPTION	DETAILS		
8	ACTIVITY PERFORMED UNDER SCOPE OF WORK	BY SELF	BY CONSORTIUM MEMBER	BY SUB-CONTRACTOR
		(MARK ✓ FOR APPLICABILITY IN BOX)		
	Overall Project Management			
	Residual process design			
	Detailed Engineering			
	Procurement and Supply			
	Construction including fabrication, erection,			
	Inspection, testing, Start up and pre-commissioning			
	Commissioning Assistance			
	Commissioning			
9	Completion Status	<ul style="list-style-type: none"> Specify Date of 		
		<ul style="list-style-type: none"> Effective Date of Contract: _____ Starting date: _____ Scheduled Completion Date: _____ Mechanical Completion Date : _____ Commissioning Date : _____ Date of putting into operation : _____ Actual Date of Completion of Contract: _____ 		

S. NO.	DESCRIPTION	DETAILS
		<ul style="list-style-type: none"> Delay in months, if any : _____ Reasons for delay, if any: _____
10	Whether following documents enclosed	<ul style="list-style-type: none"> Copy of work order/contract Copy of Proof of Completion Proof of Commissioning A proof that Unit(s) have consistently operated at the required capacity
11	Confirm that you are meeting the Experience Criteria – as mentioned in Clause No. 4.	Yes No
12	Confirmed that all supporting documents pertaining to qualification criteria submitted as evidence are duly authenticated as per requirement mentioned in NIT.	Confirmed

NOTES:

1. Confirmed that information furnished as per this format is correct and in case of any original document is required by Owner/Consultant, the same shall be submitted by us for verification.
2. Confirmed that Photocopy of the documents submitted by us in support of our Experience Criteria is true image of the original document.

(Signature & Stamp of the Bidder)

FINANCIAL DETAILS**A. ANNUAL TURNOVER OF LAST 3 YEARS:**

Year	Amount (Currency)
Year 1:	
Year 2:	
Year 3:	

B. FINANCIAL DATA FOR LATEST AUDITED FINANCIAL YEAR :

Description	Year
	Amount (Currency)
Net Worth	

NOTE: THE ABOVE COLUMNS SHALL BE DULY SIGNED BY A QUALIFIED CHARTERED ACCOUNTANT.

C. ENCLOSE AUDITED ANNUAL REPORT CONTAINING BALANCE SHEET AND PROFIT & LOSS STATEMENT FOR THE PRECEDING THREE FINANCIAL YEARS.

D. ENCLOSE LETTER FROM YOUR BANKER/SELF

THAT THE AGENCY IS NOT UNDER LIQUIDATION, COURT RECEIVERSHIP OR SIMILAR PROCEEDINGS

E. ENCLOSE SOLVENCY CERTIFICATE FROM BANKER

F. ENCLOSE SELF CERTIFICATION OF FINANCIAL SOUNDNESS

(Signature & Stamp of the Bidder)